

Methodological and Ethical Challenges for the Implementation of Complementary and Alternative Medicine-Related Clinical Trials: Review of Reviews

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Abstract

Background and Objectives: The implementation of complementary and alternative medicine (CAM) has increased in recent decades. Due to the positive effects of CAM interventions, 5 to 74.8% of people use these treatments worldwide. However, implementing CAM-related clinical trials is associated with challenging issues. Failure to address these challenges can lead to poor quality of studies, publication of non-scientific findings, and ultimately disregard for human rights and ethics. This review aims to comprehensively review the literature focusing on methodological and ethical challenges for implementing CAM-related clinical trials.

Material and Methods: This review of reviews was conducted via international databases, including PubMed/MEDLINE, Web of Science, and Scopus using keywords extracted from medical subject headings such as "Methods", "Methodological Study", "Methodological Studies", "Ethics", "Complementary Therapies", "Complementary Medicine", "Alternative Medicine", "Clinical Trial", and "review" from the earliest to May 1, 2022.

Results: In general, challenging issues for the implementation of CAM-related clinical trials can be divided into two categories: 1) methodological and 2) ethical. Methodological challenges included: Risk of bias, Lack of knowledge of researchers, and Blinding. On the other hand, ethical challenges in clinical trial studies are divided into two categories: patients' rights and placebo use.

Conclusion: Overall, the present study emphasizes the need for special attention to the quality of CAM-related clinical trials. Also, this study can pioneer the introduction of critical challenging issues in CAM-related clinical trials and provide appropriate suggestions for researchers to solve these issues in future studies.

Keywords: Methods [MeSH]; Complementary Therapies [MeSH]; Ethics [MeSH]; Clinical Trial [MeSH]



Highlights

- Challenging issues for implementing complementary and alternative medicine (CAM)-related clinical trials can be divided into 1) methodological and 2) ethical.
- This study can pioneer the introduction of critical challenging issues in CAM-related clinical trials and provide appropriate suggestions for researchers to solve these issues in future studies.

Introduction

Implementation of Complementary and Alternative Medicine (CAM) has increased in recent decades (1-7). CAM consists of two components, including 1) complementary medicine and alternative medicine. 2) "Complementary medicine" is defined as nonmainstream practices that are not part of standard medical care but are used in conjunction with conventional medical methods. On the other hand, "alternative medicine" includes non-mainstream practices that are used instead of standard medical care (8-10). In general, CAM interventions can be divided into four main groups, including 1) mindbody-spirit therapies (such as imagery, yoga, and music therapy), 2) manipulative and body-based therapies (massage, tai chi, and relaxation therapies), 3) natural products (aromatherapy, and herbal medicines), and 4) energy therapies (light therapy, reflexology, acupressure) (11-14). Due to the positive effects of CAM interventions, 5 to 74.8% of people use these treatments worldwide (15-19). Nearly half of patients in the USA use CAM therapies to treat medical conditions and improve well-being (1). CAM is widely used to improve disease-related outcomes and patients' quality of life (20-25). Complaints of chronic diseases, physical disabilities, and mental stress play an essential role in the implementation of these treatments (15, 26, 27). However, the implementation of CAM-related clinical trials is associated with critical challenging issues (28, 29). Failure to address challenging issues can lead to poor quality of studies, publication of nonscientific findings, and ultimately disregard for human rights and ethics. Therefore, these challenging issues can be divided into two categories: 1) methodological and 2) ethical (30).

In relation to methodological challenges, one of the critical issues in clinical trial studies is the existence of biases. There are different types of biases in Randomized Controlled Trials (RCTs) (31). One of the most common is selection and implementation bias, and the best way to minimize selection bias is randomization, which means randomly assigning participants to study groups. Blinding can minimize implementation bias and produce more reliable results (32). Also, blinding the adverse effects of researchers 'and participants' awareness of the intended intervention reduces the results. However, there are criticisms of blindness (33-35). Ethical challenges have always been discussed, including issues such as using placebos, guaranteeing the rights and interests of participants, and attention to treatments' effectiveness or side effects (36).

It is evident that in the implementation of RCT studies, it is necessary to pay attention to ethical and methodological challenges, and since the results of RCT studies play an important role in therapeutic decisions and are presented as part of the body of knowledge; It is essential to observe the considerations that pay attention to the quality of studies. Dissemination of scientific and reliable findings can play an essential role in improving the quality of clinical care and complying with ethical principles, given the importance of ethical and methodological issues in the implementation of CAM-related clinical trials and the role of these issues in the publication of scientific and reliable findings, this review of reviews aimed to summarize the evidence regarding the methodological and ethical challenges for the implementation of CAM-related clinical trials.

Materials and Methods

This review of reviews was conducted via international databases, including PubMed/ MEDLINE, Web of Science, and Scopus using keywords extracted from medical subject headings such as "Methods", "Methodological Study", "Methodological Studies", "Ethics", "Complementary Therapies", "Complementary Medicine", "Alternative Medicine", "Clinical Trial", and "review" from the earliest to May 1, 2022. For example, the search strategy was in PubMed/MEDLINE database including (("Methods") OR ("Methodological Study") OR ("Methodological Studies")) AND (("Ethics") OR ("Bioethical Issues") OR ("Morals") OR ("Ethical Issues")) AND (("Complementary Therapies") OR Medicine") ("Complementary OR ("Alternative Medicine") OR ("Alternative Therapies")) AND (("Clinical Trial") OR ("Review")). Keywords were combined via Boolean operators (AND/OR). English-language published reviews related to the purpose of the present review were included. The search was performed by two authors independently. This

review does not include gray literature such as conference opinions, presentations, expert dissertations, research and committee reports, and ongoing research. Gray literature includes articles produced in print and electronic formats but not evaluated by a commercial publisher (37). Lists of references from eligible studies were assessed achieve manually to maximum search comprehensiveness. Data management were conducted using the EndNote X8 software. A total of 974 articles were obtained initially using database searches. After removing the duplicates, two researchers independently evaluated the title, abstract, and full text of the articles based on inclusion/exclusion criteria. Finally, full texts of selected articles were reviewed, and six studies were included in this review (Figure 1).



Figure 1. Flow diagram of the study selection process

Results

The basic characteristics of the included studies in this review are presented in <u>Table 1</u>.

Methodological and ethical challenges for the implementation of CAM-related clinical trials

Overall, challenging issues for the implementation of CAM-related clinical trials can be divided into two categories: 1) methodological and 2) ethical.

1. Methodological

1.1. Risk of bias

Although the best and most accurate clinical trials to measure causal relationships, RCTs are always prone to various errors. Hence, one type of error in RCTs is called bias. This type of error is generally defined as any systematic error in the design, implementation, data collection, analysis, and interpretation of data that can distort the validity of the findings and conclusions. Bias can lead to underestimation or overestimation of the effects of RCT interventions. Based on a systematic review, half of the RCT studies on CAM implementation in hemodialysis patients had low bias (<u>38</u>).

1.2. Lack of knowledge of researchers

Some researcher-related challenging issues include lack of knowledge of researchers in the implementation of RCTs, lack of proper communication between the researcher and the statistical expert for randomization and sampling, weakness of researchers in correct randomization, non-use of blinding, failure to the management of sample erosion and report all relevant variables, small sample size, incomplete information regarding the validity and reliability of data tools, collection failure to consult with statisticians during the study, which require special attention by policy-makers in research fields and universities (38, 39).

1.3. Blinding or not?

In retrospect, the call for new methodologies appears to have been a reaction against the perceived dominance of the Randomized Controlled Trial (RCT). This reaction stemmed first from misconceptions regarding the nature of the RCT and second from a desire to ask what were thought to be new questions about medicine, the answers that cannot be determined by an RCT. Concerning the first point, it is interesting how often CAM practitioners and other commentators use the terms RCT and double-blind trial interchangeably (40).

This is an excellent example of how certain incidental or additional features of particular trials have been mistakenly perceived to be an integral part of the RCT. RCTs do not have to be double-blind. An RCT can still be conducted even if the therapy cannot be given in a double-blind fashion (38, 39).

In addition to double-blinding, several other features of clinical trial methodology have been said, by critics, to be a necessary component of any RCT. It has often been argued that RCTs should not be conducted because research on a CAM therapy should not involve a particular methodological technique and because such a technique is an inherent part of an RCT. Some examples of this kind of argument are given below. This is to show that rigorous RCTs can be conducted to solve real-world problems and which do not conform to the caricature of the "double-blind trial" (40).

One problem with focusing on paradigms, the problem with much of the early methodological debate was that, this approach is of limited use to prospective researchers. One of our primary roles at the Research Council for Complementary Medicine is to advise individuals who wish to get involved in research. An obvious first step would have been to refer an inquirer to the relevant methodological literature. Unfortunately, this is often of little benefit. Esoteric comparisons of "the reductionist causal" paradigm, said to characterize "orthodox" biomedical science, and the "integrative holistic" paradigm, said to be characteristic of CAM, were no doubt theoretically attractive, but they were of limited practical value 39). (38,

Author/Y	Title	Design	Number of	Main Findings
ear		_	included studies	
Huanhua	Challenges for ethics committees in biomedical	Narrative	45 Clinical trials	To maximize the benefits and minimize the risks to participants, the following
n et al.,	research governance: illustrations from China and	Review		aspects should be considered in the ethical review of clinical trials:
2021	Australia			(1) Calculate and minimize sample content in such a way as to prevent an overdose
				of treatment participants
				(2) Guaranteeing the rights and interests of the participants
				(3) Pay close attention to the occurrence of adverse events, including serious cases
				(4) An add-on design scheme can be adopted in which the experimental group and
				the control group can adopt standard treatment
Katz et	Research design considerations for randomized	Narrative	43 Clinical trials	Clinical trials have been criticized as double-blind.
al., 2021	controlled trials of spinal cord stimulation for	Review		
	pain:			
	Initiative on Methods, Measurement, and Pain			
	Assessment in Clinical Trials/Institute of			
	Neuromodulation/International Neuromodulation			
	Society recommendations		10 5 65	
Zhang et	The Challenges of Ethical Review in Clinical	Narrative	18 RCTs	Three recommendations for using a placebo in clinical trials:
al., 2021	Research of Traditional Chinese Medicine	Review		(1) the disease under investigation is limited and can be treated even without
				treatment
				(2) there is currently no specific treatment for the research disease, which means
				that new treatments should be considered (2) shroning illness and short term should be from the treatment will have no significant
				(5) chronic miness and short-term absence of treatment will have no significant
A	Bias in clinical trials into the officets of	Norrativo	114	Helf of the PCT studies related to CAM implementation in hemodialysis patients
Adib-Ha	complementary and alternative medicine therapies	Review	PCTs	had low bias
Jbagnery	on hemodialysis patients	Kevie w	IC15	had low blas.
et al.,	on nemoularysis patients			
2019 Moham	Pick of Pice in Pendomized Controlled Triels	Norrativa	69	The majority of studies had an unclear or high rick of higs. Dandom sequence
modiat	Risk of Blas III Randonlized Conditioned Inals	Daviav		representation in 22% concealment allocation in 4.5% blinding in 22% incomplete
a1 2014	Lournals in 2010	Keview	KC18	outcome data in 35.3% selective outcome reporting in 51.5% and other bias in
al., 2014	Journals in 2010			36.7% of the studies was in low risk of hias
Louis et	Critical issues in the conduct and interpretation of	Narrativa	12 PCTs	Patients should be aware of the notential advantages and disadvantages of
al 1983	clinical trials	Review	12 KC15	interventions and have the right to withdraw from the study at any stage of the
u., 1705	chilicul ululo		1	interventions and have the right to withdraw norm the study at any stage of the

Table 1. Essential characteristics of the studies included in this review

2. Ethical

2.1. Patient rights

Quality assurance to ensure the protection of patient rights is essential to research ethics in the implementation of CAM-related clinical trials. Also, one of the most important aspects of patient rights is informed consent, a primary concern in clinical trials. However, its proper implementation has been controversial in many cases. Patients should be aware of the potential advantages and disadvantages of interventions and have the right to withdraw from the study at any stage (41). It is suggested that researchers adhere to the Nuremberg Code and the Helsinki Declaration and institutional review boards, to resolve this challenging issue and promote ethical behaviors. Also, monitoring clinical trials and research ethics-related workshops is necessary to promote the ethical behaviors of researchers in clinical trials.

2.2. Use of placebo

In addition, the use of a placebo in RCTs is one of the main concerns in ethical studies in clinical trials. Especially when it is clear that effective treatment is available to prevent the progression of the disease, treating patients is not the only ethical placebo. However, there are three recommendations for the use of a placebo, including (1) the disease under investigation is limited and can be treated even without treatment, (2) there is currently no specific treatment for the research disease, which means that New treatment should be considered, and (3) chronic illness and short-term absence of treatment will have no significant effect on the prognosis (42).

However, to maximize the benefits and minimize the risks to participants, the following aspects should be considered in the ethical review of clinical trials: (1) Calculate and minimize sample content in such a way as to prevent overdose of treatment participants with poor efficacy or adverse reactions. (2) Guaranteeing the rights and interests of the participants; Ensuring the safety and reliability of pharmacological excipients or raw materials; in the placebo production process, the relevant materials must be in accordance with the relevant international standards to ensure the quality and safety of the placebo.

(3) Pay close attention to the occurrence of adverse events, including serious cases. (4) An add-on design scheme can be adopted in which the experimental and control groups can adopt standard treatment as the primary intervention to minimize the possibility of harm (43).

Conclusion

The present study emphasizes the need for special attention to the quality of CAM-related clinical trials. Also, this study can play an important role in introducing important challenging issues in clinical trials related to CAM and provide appropriate suggestions to researchers to solve these issues in future studies. While the importance of addressing barriers to conducting and applying research In CAM, it is recognized that, to date, addressing the barriers and challenges has been limited. Numerous barriers remain, requiring coordinated efforts and collaboration among various CAM stakeholders and across multiple sectors. Further research can contribute to the evidence base on how best to overcome these barriers to promote the conduct and application of research in CAM.

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Declaration of Conflicting Interests

The Author(s) declare(s) that there is no conflict of interest

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